

<b>Notice of References Cited</b>		Application/Control No.	Applicant(s)/Patent Under Reexamination CADOUX, ROBERT	
		Examiner PAUL A BELL	Art Unit 3628	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
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	I	US-			
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	K	US-			
	L	US-			
	M	US-			

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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	(David C. Mauer et al.), "THE EFFECT OF THE SECONDARY MARKET ON THE PRICING OF THE INITIAL PUBLIC OFFERINGS: THEORY AND EVIDENCE" , JOURNAL OF FINACIAL AND QUANTITATIVE ANALYSIS (VOL. 27, NO 1, MARCH 1992).
	V	(Gary D. Bruton et al.), "STRATEGY AND IPO MARKET SELECTION: IMPLICATIONS FOR THE ENTREPRENEURIAL FIRM JOURNAL OF SMALL BUSINESS MANAGEMENT (OCTOBER 1997 PAGES 1-10).
	W	(Chester Spatt et al.), "PREPLAY COMMUNICATION, PARTICIPATION RESTRICTIONS, AND EFFICIENCY IN INITIAL PBLCIC OFFERINGS", THE REVIEW OF FINANCIAL STUDIES, ( WINTER 1991).
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.